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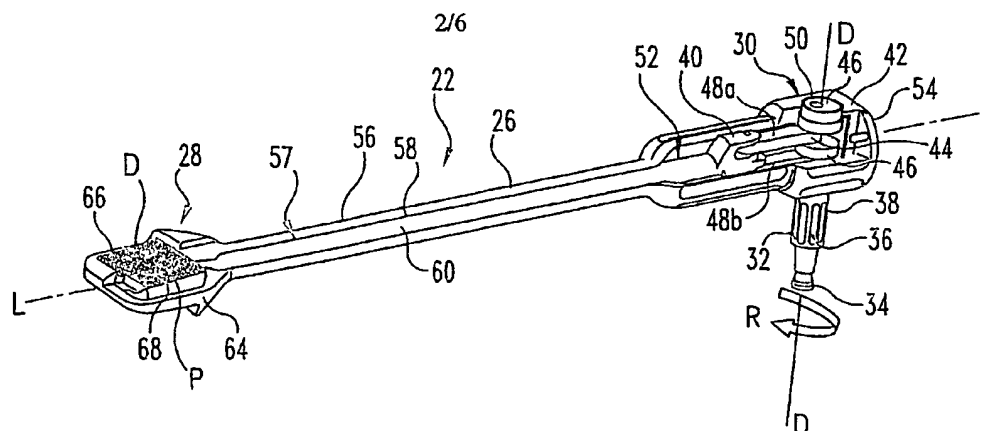
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(54) Title: VERTEBRAL ENDPLATE PREPARATION TOOL KIT



(57) Abstract: A disc preparation system includes an oscillating rasp (26) for preparation of vertebral endplates and a central reamer (100) for reaming a pair of kidney-shaped grooves into the vertebral endplate. The oscillating rasp (26) is powered by a rotary power source. A linkage assembly (42) is coupled to the rotary power source to convert the rotary motion into a reciprocating motion. A pair of rasps plates (66, 68), which are linked to the linkage assembly, linearly reciprocate in opposite directions in response to the reciprocating motion of the linkage assembly. In one form, the central reamer (100) includes a pair of cutting elements (104) that are coupled to the rotary power source in order to rotate in response to rotational movement from the rotary power source. In another form, the central reamer includes a single cutting element coupled to an angled reamer handle and a reamer guide.

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## VERTEBRAL ENDPLATE PREPARATION TOOL KIT

## BACKGROUND

The present invention generally relates to vertebral endplate tools, and more specifically, but not exclusively, concerns vertebral endplate tools that are used to form a centrally located cavity in vertebral endplates.

Intervertebral discs, located between the endplates of adjacent vertebrae, stabilize the spine, distribute forces between the vertebrae and cushion the vertebral bodies. An intervertebral disc may deteriorate due to trauma, aging, or disease resulting in pain or discomfort to a patient. One common procedure for relief of patient discomfort is a disectomy, or surgical removal of all or part of the intervertebral disc. Often, this is followed by implantation of a device or spinal implant between the adjacent vertebrae in order to maintain or restore disc space height. Through stabilization of the vertebrae, the risk of reoccurrence of the same disabling back pain due to persistent inflammation and/or instability is reduced.

During implantation of a spinal implant, the endplates of adjacent vertebrae are sometimes milled to ensure firm implantation of the spinal implant by promoting bone ingrowth. One problem faced with typical milling instrumentation is that it is unable to form precise cavities at desired locations in the endplates. If not precisely prepared, the formed disc space may result in the expulsion of the implant, which can lead to injury of the patient. Moreover, precise control of the milling equipment is required in order to avoid damaging vital tissues along the spinal column, such as nerves. During milling, the surgeon has to apply force to the milling equipment in order to counteract the forces created by the milling equipment cutting into the vertebrae. If not counteracted, the resultant force can cause the milling equipment to cut into portions of the vertebrae not intended to be milled.

Thus, there remains a need for implant endplate preparation tools that are capable of precisely defining cavities for securing implants.

## SUMMARY

The present invention contemplates intervertebral endplate tools that have a reduced profile and that can precisely prepare a cavity for insertion of a device for spacing adjacent  
5 vertebrae.

In one aspect, an oscillating rasp, which is used to prepare vertebral endplates, includes a rotary power source and a linkage assembly coupled to the rotary power source, which converts rotary motion of the rotary power source into a reciprocating motion. A pair of bilateral rasp plates that are linked to the linkage assembly. The pair of bilateral rasp  
10 plates are adapted to linearly reciprocate in opposite directions in response to the reciprocating motion of the linkage assembly.

In another aspect, a central reamer is used to ream a pair of kidney-shaped grooves into a vertebral endplate. The reamer includes a rotary power source operable to rotate about a drive axis. A pair of cutting elements are coupled to the rotary power source. The cutting  
15 elements are adapted to rotate about a cutting axis in response to rotational movement of the rotary power source about the drive axis. The drive axis is arranged in a perpendicular arrangements with respect to the cutting axis.

In a further aspect, a kit includes an oscillating rasp to prepare surfaces of vertebral endplates. The kit further includes cutter configured to cut a guide slot into the vertebral  
20 endplates and a reamer. The reamer has a guide flange adapted to be slidably received in the guide slot, and the reamer has a cutting element adapted to cut a cavity into the vertebral endplates.

Another aspect concerns a method that includes rasping a generally flat surface on an endplate of a vertebrae with a rasp having a pair of bilateral rasp plates linearly reciprocating

in opposite directions. A kidney-shaped central cavity is reamed within the flat surface with a cutting element of a central reamer.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a diagrammatic view of a tool assembly according to one embodiment.

FIG 2 is a partial cross-sectional, perspective view of a reciprocating rasp according  
5 to a further embodiment.

FIG. 3 is an enlarged perspective view of a head portion of the FIG. 2 rasp.

FIG. 4 is a partial cross sectional view of the head shown in FIG. 3.

FIG. 5 is a cross sectional, perspective view of a central reamer according to another  
embodiment.

10 FIG. 6 is an enlarged perspective view of a head portion of the FIG. 5 reamer.

FIG. 7 is an enlarged cross sectional view of the head shown in FIG. 6.

FIG. 8 illustrates the stages involved in preparation of a disc space for implantation of  
an implant according to one embodiment.

FIG. 9 illustrates one environment in which the FIG. 5 reamer is used.

15 FIG. 10 illustrates an angled reamer assembly according to another embodiment.

FIG. 11 illustrates an enlarged view of a head portion of the FIG. 10 reamer assembly.

FIG. 12 illustrates a technique for disc space preparation with the FIG. 10 reamer  
assembly.

## DETAILED DESCRIPTION

For the purposes of promoting an understanding of the principles of the invention, reference will now be made to the embodiments illustrated in the drawings and specific language will be used to describe the same. It will nevertheless be understood that no  
5 limitation of the scope of the invention is thereby intended, such alterations and further modifications in the illustrated device, and such further applications of the principles of the invention as illustrated therein being contemplated as would normally occur to one skilled in the art to which the invention relates. One embodiment of the invention is shown in great  
10 detail, although it will be apparent to those skilled in the art that some of the features which are not relevant to the invention may not be shown for the sake of clarity.

A tool system or assembly 20 according to one embodiment is illustrated in diagrammatic form in FIG. 1. As shown, tool assembly 20 includes a disc preparation tool 22 that is coupled to a rotary drive 24. As will be described in detail below, the tool 22 is used  
15 in preparing a disc space for insertion of an intervertebral spacer. The rotary drive 24 supplies power to the tool 22, and the disc preparation tool 22 converts the rotary motion of the rotary drive 24 into a cutting motion in order to prepare the disc space. By way of a non-limiting example, the rotary drive 24 can include a pneumatic motor, an electric motor, and/or a manually operated, rotary drive handle, to name a few. In one embodiment in the  
20 rotary drive 24 is a pneumatic motor that is operable to supply rotary movement to the tool 22.

One version of tool 22 that can be used in system 20 is illustrated in FIG. 2. As illustrated, oscillating rasp 26 includes a distal end portion 28 and a proximal end portion 30. The proximal end portion 30 includes a drive shaft 32 that is adapted to connect to the rotary  
25 drive 24. As depicted in FIG. 2, the drive shaft 32 has an attachment head 34 and a drive

portion 36 that has a plurality of drive ridges 38 radially oriented along the drive shaft 32.

The drive ridges 38 are adapted to engage the rotary drive 24 such that the rotary drive 24 is able to rotate the drive shaft 32.

Within housing 40, the rasp 26 includes a linkage assembly 42 that converts the rotary  
5 motion R of the drive shaft 32 about drive axis D into a linear reciprocating motion along longitudinal axis L of the rasp 26. As illustrated in FIG. 2, the linkage assembly 42 includes a crankshaft 44 with a pair of cranks 46 pivotally coupled to a pair of connecting rods 48 (48a, 48b). The linkage assembly 42 is connected to the drive shaft 32. Opposite the drive shaft 32, along the drive axis D, the linkage assembly 42 includes a bearing 50 rotatably  
10 mounted within the housing 40, thereby allowing rotation of the crankshaft 44. As should be appreciated, the linkage assembly 42 can include a second bearing 50 that is located between the drive shaft 32 and the cranks 46. As shown, the connecting rods 48 are positioned one-hundred and eighty-degrees ( $180^\circ$ ) out of phase with respect to one another on the crankshaft 44 such that when one connecting rod 48a is extended along the longitudinal axis L towards  
15 the distal end portion 28 the other connecting rod 48b is retracted away from the distal end portion 28. The linkage assembly 42 is received within a cavity 52 defined in the housing 40. An end cap 54 of the housing 40 seals the linkage assembly 42 within the cavity 52. A shaft portion 56 connects the distal end portion 28 of the rasp 26 to the proximal end portion 30. The shaft portion 56 defines in the housing 40 a longitudinal cavity 57, which communicates  
20 with cavity 52. As shown, a pair of rod members 58, 60 are received within the cavity 57 of the shaft portion 56 of the housing 40. Each of the rod members 58, 60 is pivotally connected to one of the connecting rods 48 near the proximal end 30.

At distal end portion 28, the rasp 26 includes a head portion 64 that has a pair of rasp plate or cutting members 66, 68 that are used to cut a rectangular cavity into an endplate of a  
25 vertebral body plate. As shown in greater detail in FIGS. 3 and 4, the first rasp plate 66 and



the second rasp plate 68 are connected to the first rod member 58 and the second rod member 60, respectively. First 70 and second 72 pins are respectively secure the rasp plates 66 and 68 to the rods 58 and 60. Upper 76 and lower 70 surfaces of the rasp plates 66, 68 are textured to have cutting members 80 that are configured to cut into a pair of opposing vertebral endplates. In the illustrated embodiment, the cutting members 80 have a pyramidal shape. As should be appreciated, the upper and lower surfaces of 76, 78 can include other types of texturing in order to cut into the endplates.

To ensure that the first 66 and second 68 rasp plates do not separate from one another during use, the first rasp plate 66 has a tongue member 82 received within a groove 84 in the second rasp plate 68. As illustrated, the rasp plates 66, 68 each have a generally rectangular shape, and when placed side by side, have an overall rectangular shape. Both rasp plates 66 and 68 are received within a cavity 86 defined by a u-shaped end member 88 of the housing 40. At transition portion 90, the head portion 64 gradually tapers to the shaft portion 56. The transition portion 90 further includes a pair of opposing stop surfaces 92 that extend above and below the rasp plates 66, 68 in order to prevent the rasp 26 from penetrating too far into the vertebrae along the longitudinal axis L. Moreover, the u-shaped end member 88 has upper and lower surfaces 94 that limit the penetration depth of the rasp plates 66, 68 into the vertebrae. The u-shaped end member 88 includes a tapered insertion portion 96 that is tapered to make insertion of the rasp 26 between the vertebrae easier, and corners 98 of the u-shaped end member 88 are rounded to minimize tissue damage.

Referring again to FIG. 2, when the drive shaft 32 is rotated by the rotary drive 24 the linkage assembly 42 converts the rotary motion R into a reciprocating linear motion along the longitudinal axis L. In the rasp 26, the alternating reciprocating motion of the connecting rods 48a, 48b and the rod members 58, 60, which is created by the rotation of the crankshaft 44, alternately reciprocates the rasp plate 66 and 68 in opposite, distal D and proximal P

directions along the longitudinal axis L. By having the rasp plates 66 and 68 oscillate in longitudinally opposite directions, the rectangular cavity formed by the rasp 26 can have a more precise shape because the forces imparted by the oppositely moving rasp plate 66, 68 counteract one another, thereby minimizing the resultant force imparted on the proximal end portion 30 of the rasp 26. During surgery, the surgeon has to apply little or no force to counteract the cutting forces generated by the rasp plates 66, 68. Thus, the oscillating rasp 26 according to this embodiment is able to convert rotary force into a linear force such that a precisely dimensioned finished surface can be formed.

Another version of tool 22 is a central reamer 100 that is illustrated in FIGS. 5-7. As shown, the central reamer 100 includes a distal end portion 28a and an opposite proximal end portion 30a. The reamer 100 includes a number of components similar to the ones described above for the rasp 26. Like rasp 26, the central reamer 100 includes a drive shaft 32 linkage assembly 42 with a crankshaft 44. The crankshaft 44 includes a pair of cranks 46 each connected to a respective connecting rod 48. The crankshaft 44 is supported in housing 56 by bearings 50. The housing 56 forms central cavity 52 that is enclosed by end cap 54. The shaft portion 56 of the reamer 100 slidably receives first 58a and second 60a rod members. The rod members 58a and 60a are connected to connecting rods 48a and 48b, respectively. As shown, rod members 58a and 60a differ from the previous embodiment at head portion 64a of the reamer 100.

As is shown in FIGS. 6 and 7, the head portion 64a of the reamer 100 includes a cutting assembly 102, which is used to cut kidney-shaped cavities into a pair of adjacent endplates. As illustrated, the cutting assembly 102 includes a pair of bilaterally oriented cutting members 104 mounted on a rotatable cutting shaft 106. A pinion gear 108 is mounted on the cutting shaft 106 between the cutting members 104. Both rod members 58a and 60a have rack members 110 and 112, respectively, with teeth that engage the pinion gear 108. As

shown, the rack members 110 and 112 are positioned at opposite sides of the pinion gear 108. As the drive shaft 32 is rotated, the linkage assembly 42, through camshaft 44 and connecting rods 48, convert the rotary motion R of shaft 32 into a reciprocating linear motion along the longitudinal axis L of the central reamer 100. The rod members 58a and 60a reciprocate in  
5 an alternating manner along the longitudinal axis of the reamer 100. With the rack members 110 and 112 moving in an alternating manner, the pinion gear 108 is rotated in an oscillating fashion such that the cutting members 104 are rotated in oscillating direction, as indicated by arrows O in FIG. 5, about a cutting axis C cutting shaft 106. As illustrated, the cutting axis C is oriented perpendicular to both the longitudinal axis L as well as the drive axis D.

10 With continued reference to FIGS. 6 and 7, the cutting members 104 have a generally rectangular cross-sectional or box shape. Openings 116 are formed in the cutting members 104 between the individual cutting blades 114 so as to allow bone chips to be removed during cutting. Cutting members 104 along with the cutting shaft 106 are received in a cutting shaft cavity 118 defined in the head portion 64a. A shaft support member 120 rotatably supports  
15 the cutting shaft 106, and one end of the cutting shaft 106 is received within a shaft opening 122 defined in support member 101. As shown, a pair of screws 124 secure the shaft support member 120 to the head portion 64a. The other end of the cutting shaft 106 is supported by a second shaft opening 122 formed in the head 64A. Referring to FIG. 7, the head portion 64a includes a pair of opposing vertebrae engaging surfaces 126 that are adapted to fit between  
20 and engage adjacent vertebrae. A pair of guide members 128, which guide and center the reamer 100 when inserted between the adjacent vertebrae, bisect the vertebrae engaging surfaces 126. At the end opposite of surface 122, the engaging surfaces 126 and the guide member 128 respectively have tapered portions 130 and 132, which aid in the insertion of the head portion 64a between the adjacent vertebrae.

FIG. 8 illustrates how the tools 22 according to the present invention are used to progressively shape a vertebra V. The illustrated vertebra V has been numbered V1-V5 in order to show the progression of the cavities formed for insertion of a spacer into the vertebra V. However, it should be understood that the progressive numbering of vertebrae V1-V5 is for explanation purposes only in order to merely show the progression in which a single vertebra V is shaped during a shaping technique according to the present invention. Moreover, although only a single vertebra V is illustrated, it should be understood that the illustrated cavity forming technique can occur at the same time on both opposing vertebrae in a disc space. As depicted, the oscillating rasp 26 removes a cartilage layer and prepares a regular flat surface or cavity 150 in the endplate 152 without cutting into spongy bone of the vertebrae V. Cavity 150 in the illustrated embodiment has a substantially rectangular shape, but it should be appreciated that the shape of cavity 150 can be different in order to accommodate differently shaped implants. The u-shaped end member of the rasp 26 ensures that the rasp 26 does not cut too deeply into the endplate 152 of the vertebrae V1. Moreover, the stop surfaces 92 prevent the rasp 26 from being inserted at an excessive depth into the vertebra V1. Following cutting of the rectangular cavity 152, as shown with vertebra V2, a midline cutter support 154 is inserted into central cavity 152. As mentioned above, the rasp 26 removes the cartilage layers and prepares regular flat surface or cavities 150 without cutting into spongy bone in the vertebrae V. As depicted, the midline cutter support 154 has a pair of vertebrae engaging surfaces 156, each of which are bisected by a cutter slot 158. A connecting member 160 connects the two halves of the head portion 64b of the midline cutter support 154. The midline cutter support 154 further includes a handle portion 162 that defines a midline cutter cavity 164. As shown with vertebra V3, cutter 166 is received within the midline cutter cavity 164 and cutter slot 158 in order to cut a midline center slot 168. The cutter 166 has a blade portion 170 that extends above the vertebrae engaging surfaces 156 in

order to cut the slot 168 in the vertebrae V3. As illustrated, the blade 170 has a tapered end to coincide with tapered portion 132 on guide member 128 of the central reamer 100.

As shown in FIG. 8 and in greater detail in FIG. 9, the guide member 128 of the central reamer 100 is slid into the center slot 168 formed in vertebra V4. Slot 168 along with  
5 the guide member 128 ensures that the reamer 100 is properly centered over vertebra V4. The stop surface 92 on the central reamer 100 ensures that the cutting members 104 are positioned at the right penetration depth over vertebra V4. To insert the central reamer into the disc space, the cutting members 104 of the reamer 100 are oriented such that the cutting blades 114 are flush with vertebrae engaging surfaces 126. Next, the reamer 100 is slid  
10 between the vertebrae V and positioned at the proper depth through stops 92, which engage a side of vertebra V4. Once fully inserted, the cutting members 104 can be rotated in order to form a kidney-shaped or curved surfaced cavity 174. After the kidney-shaped cavity 174 is reamed, a multi-axial spacer 180 can be inserted into cavity 174 and slot 168. To prevent expulsion of spacer 180, the spacer 180 includes opposing curved portions 182 that are  
15 conjugate with the formed kidney-shaped cavities 174 and fin portions 184 that are received within slot 168.

A curved reamer and guide assembly 190 for forming cavity 174 into vertebrae V according to another embodiment is illustrated in FIGS. 10-12. As illustrated, assembly 190 includes a curved reamer 192 slidably received within a reamer guide 194. The curved  
20 reamer 192 includes drive shaft 32 that is rotatably received within handle portion 96 and hollow curved shaft 198. The drive shaft 32 is configured to connect to rotary drive 24. The curved reamer 192 has a proximal end portion 200 at which the drive shaft 32 is received, and a distal end portion 202 at which a rotary cutting bit tool 204 is positioned. In the illustrated embodiment, the rotary cutting bit 204 is connected to the drive shaft 32 through a cable such  
25 that when the drive shaft 32 rotates, the rotary cutting bit 204 rotates. As illustrated, the

curved shaft 198 includes a straight portion 206 and a bent or curved portion 208, which is angled in an oblique angle with respect to longitudinal axis L of the curved reamer 192.

The reamer guide 194 includes a handle end portion 210 with a cavity 212, a guide end portion 214 and a solid shaft 216 connecting the handle end portion 210 to the guide end portion 214. The guide end portion 214 of guide 194 defines a guide cavity 218 in which curved portion 208 of reamer 192 is received. The guide cavity 218 is further subdivided into an insertion portion 220 in which the reamer 192 is initially inserted outside the vertebrae V and a reamer portion 222 at which the curved reamer 192 reams kidney-shaped cavity 194 in the vertebrae V. The insertion portion 220 includes a pair of oppositely disposed guide flanges 224, which prevent the reamer 192 from accidentally cutting into the vertebrae V at the wrong location. At the reamer portion 222 notches 226 are formed in flanges 222 to act as a template for the rotary cutting tool 204 such that tool 204 is able to move and cut cavity 174 into the vertebrae. Guide fins 228 extend from the reamer guides 194 in order to align the reamer guide 194 in the center slot 168. Stop members 230 are oriented perpendicular to the guide fins 228 to prevent the reamer guide 194 from being inserted too deeply into the disc space.

During use, the guide fins 228 are inserted into slots 168 formed in the vertebrae V. Once the stop members 230 prevent further insertion of the guide 194 into the disc space, the curved portion 208 of the reamer 192 is inserted into the insertion portion 220 of the guide cavity 218. Next, the curved portion 208 is slid through the guide cavity 218, past the guide flanges 224, and into notches 226 of the reamer portion 222 at which the rotary cutting member 204 can be moved in order to ream out kidney-shaped cavity 228. As previously mentioned, the guide flanges 224 as well as the notches 226 ensure that cavity 174 is formed at the proper location. Once cavity 174 is reamed, the reamer 192 along with the guide 194

can be removed from the disc space, and implant 180 can be implanted between the vertebrae

V.

While the invention has been illustrated and described in detail in the drawings and foregoing description, the same is to be considered as illustrative and not restrictive in

5 character; it being understood that only the preferred embodiment has been shown and described and that all changes and modifications that come within the spirit of the invention are desired to be protected.

What is claimed is:

1. An oscillating rasp for preparation of vertebral endplates, comprising:  
a rotary power source;  
a linkage assembly coupled to said rotary power source to convert rotary motion of  
5 said rotary power source into reciprocating motion; and  
a pair of bilateral rasp plates linked to said linkage assembly and adapted to linearly  
reciprocate in opposite directions in response to the reciprocating motion of said linkage  
assembly.
- 10 2. The rasp of claim 1, wherein said linkage assembly includes:  
a crankshaft coupled to said rotary power source and including a pair of cranks;  
a pair of connecting-rods coupled to said pair of cranks at opposite sides to convert  
the rotary motion of said rotary power source into the reciprocating motion; and  
a pair of members coupling said pair of bilateral rasp plates to said pair of connecting  
15 rods.
3. The rasp of claim 1, wherein said pair of bilateral rasp plates have vertebrae  
cutting surfaces formed on opposite sides.
- 20 4. The rasp of claim 1, further comprising:  
a head portion within said pair of bilateral rasp plates are housed; and  
wherein said head portion includes a u-shaped member surrounding said pair of  
bilateral rasp plates to limit amount of material removed from the vertebral endplates.



5. The rasp of claim 4, wherein said head portion further includes at least one stop member to limit penetration of said rasp plates into the vertebral endplates.

6. The rasp of claim 1, wherein one of said pair of bilateral rasp plates defines a groove and the other has tongue member slidably received in said groove to align said pair of bilateral rasp plates with one another.

7. The rasp of claim 1, wherein said rotary power source includes a pneumatic motor.

8. The rasp of claim 1, further comprising:  
a head portion within said pair of bilateral rasp plates are housed, said head portion including a u-shaped member surrounding said pair of bilateral rasp plates to limit amount of material removed from the vertebral endplates, said head portion further including at least one stop member to limit penetration of said rasp plates into the vertebral endplates

wherein said linkage assembly includes

a crankshaft coupled to said rotary power source and including a pair of cranks,

a pair of connecting-rods coupled to said pair of cranks at opposite sides to convert the rotary motion of said rotary power source into the reciprocating motion, and

a pair of members coupling said pair of bilateral rasp plates to said pair of connecting rods;

wherein said pair of bilateral rasp plates have vertebrae cutting surfaces formed on opposite sides; and

wherein one of said pair of bilateral rasp plates defines a groove and the other has tongue member slidably received in said groove to align said pair of bilateral rasp plates with one another.

5           9.     A central reamer for reaming a central cavity into adjacent vertebral endplates, comprising:

          a rotary power source operable to rotate about a drive axis; and

          at least one cutting element coupled to said rotary power source to ream the central cavity in the vertebral endplates, said cutting element being adapted to rotate about a cutting  
10   axis in response to rotational movement of said rotary power source, wherein said cutting axis of said cutting element is arranged at an oblique angle with respect to said drive axis.

          10.    The central reamer of claim 9, wherein said at least one cutting element includes a pair of cutting members mounted on a rotatable cutting shaft extending along said  
15   cutting axis.

          11.    The central reamer of claim 10, further comprising:

          a crank shaft coupled to said rotary power source;

          a connecting-rod coupled to said crank shaft to convert rotary motion of said rotary  
20   power source into a reciprocating motion;

          a pinion gear mounted on said cutting shaft; and

          a rack member pivotally connected to said connecting-rod, said rack member being engaged with said pinion gear to convert the reciprocating motion into rotational movement of said cutting members.

25

12. The central reamer of claim 11, further comprising:

a head portion in which said cutting shaft is rotatably mounted;

said head portion including a pair of oppositely disposed vertebrae engaging surfaces adapted to engage the vertebral endplates; and

5        said pair of cutting members including cutting blades for cutting into the vertebral endplates, wherein said cutting members are rotatable to a storage orientation at which the cutting blades are oriented to be generally flush with the vertebrae engaging surfaces and a cutting orientation at which said cutting blades extend above said vertebrae engaging surfaces.

10

13. The central reamer of claim 12, wherein said head portion includes:

a pair of alignment vanes extending from said vertebrae engaging surfaces between said pair of cutting members, said alignment vanes being constructed and arranged to slide into slots formed in the vertebral endplates to align said pair of cutting members; and

15        a stop surface to control penetration depth of said pair of cutting members between the vertebral endplates.

20

14. The reamer of claim 13, wherein said cutting axis is oriented perpendicular to said drive axis.

15. The reamer of claim 9, further comprising:

an angled shaft portion; and

a reamer guide to guide said cutting element.

25

16. The reamer of claim 15, wherein:

said reamer guide defines a guide cavity in which said cutting element is guided; and  
said reamer guide includes a depth stop to control depth of said cutting element and  
alignment flanges adapted to be received in slots formed in the vertebral endplates.

5

17. The central reamer of claim 9, further comprising a rack-and-pinion type  
gearing arrangement coupled to said rotary power source and said pair of cutting elements.

18. A kit, comprising:  
10 an oscillating rasp to prepare surfaces of vertebral endplates;  
a cutter for cutting a guide slot into the vertebral endplates; and  
a reamer having a guide flange adapted to be slidably received in the guide slot, said  
reamer having a cutting element to cut a cavity into the vertebral endplates.

15 19. The kit of claim 18, wherein said rasp includes:  
a crankshaft including at least a pair of cranks;  
at least a pair of connecting-rods coupled to said pair of cranks at opposite sides to  
convert the rotary motion of said rotary power source into a reciprocating motion;  
at least a pair of bilateral rasp plates configured to prepare the surfaces of the  
20 vertebral endplates; and  
at least pair of members coupling said pair of bilateral rasp plates to said pair of  
connecting rods.

20. The kit of claim 19, wherein said reamer includes:  
25 a crank shaft;

a connecting-rod coupled to said crank shaft to convert rotary motion of said crankshaft into a reciprocating motion; and

a rack member pivotally connected to said connecting-rod;

a pair of cutting members mounted on a rotatable cutting shaft;

5 a pinion gear mounted on said cutting shaft;

21. The kit of claim 19, wherein said cutter includes:

a cutter support member having a pair of vertebrae engaging surfaces, said cutter support defining a support slot; and

10 a blade received in said support slot adapted to cut said guide slot.

22. A method, comprising:

rasping a generally flat surface on an endplate of a vertebrae with a rasp having a pair of bilateral rasp plates linearly reciprocating in opposite directions; and

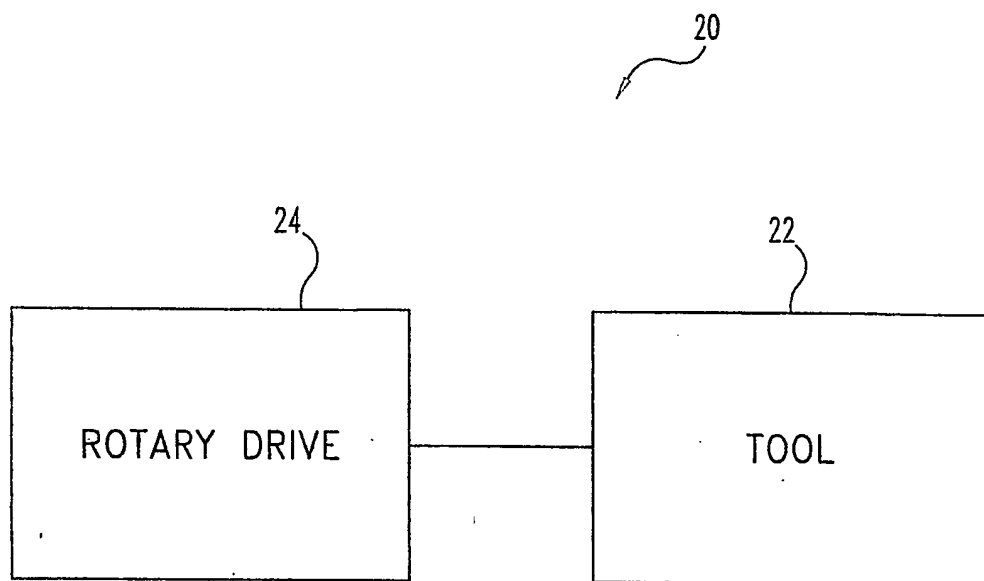
15 reaming a kidney-shaped central cavity within the flat surface with a cutting element of a central reamer.

23. The method of claim 22, further comprising:

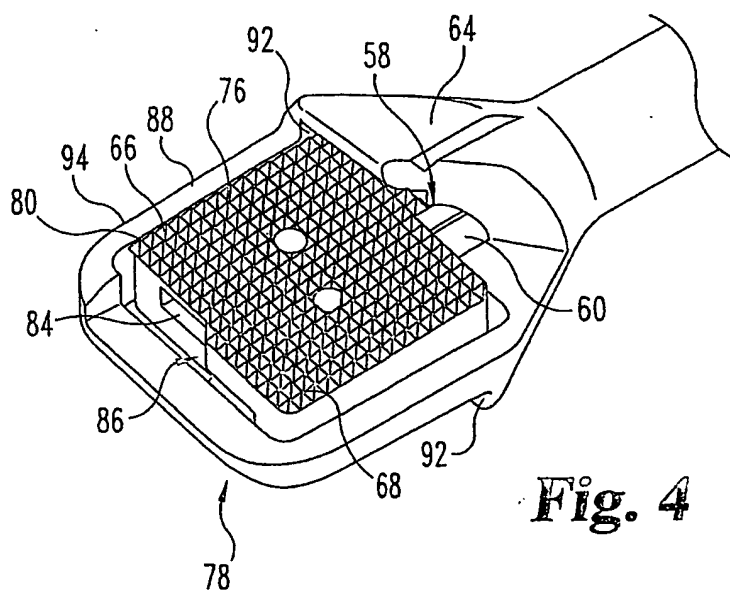
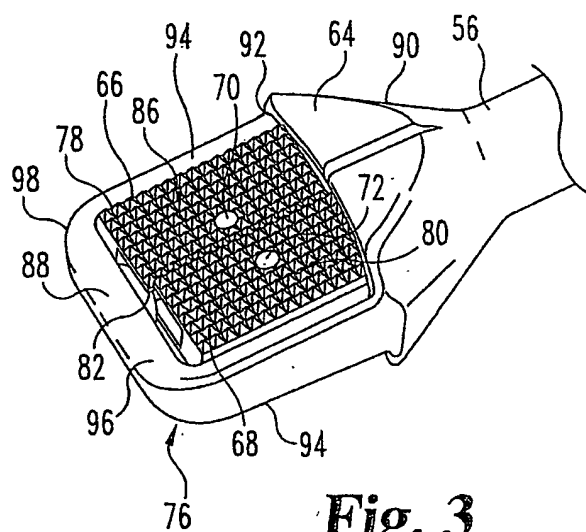
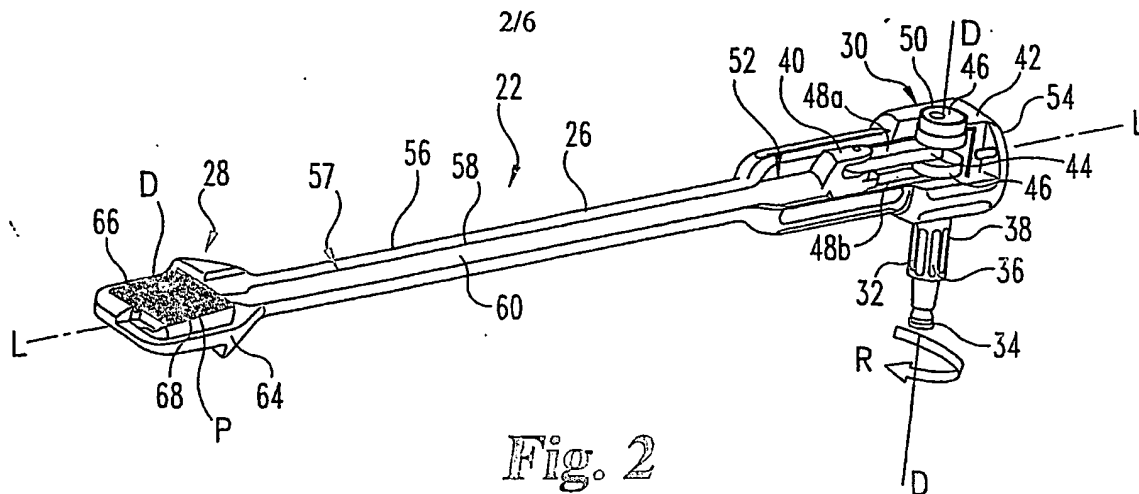
cutting a guide slot into the vertebrae with a cutter after said rasping, wherein the  
20 central reamer has a guide flange adapted to be received in said guide slot; and

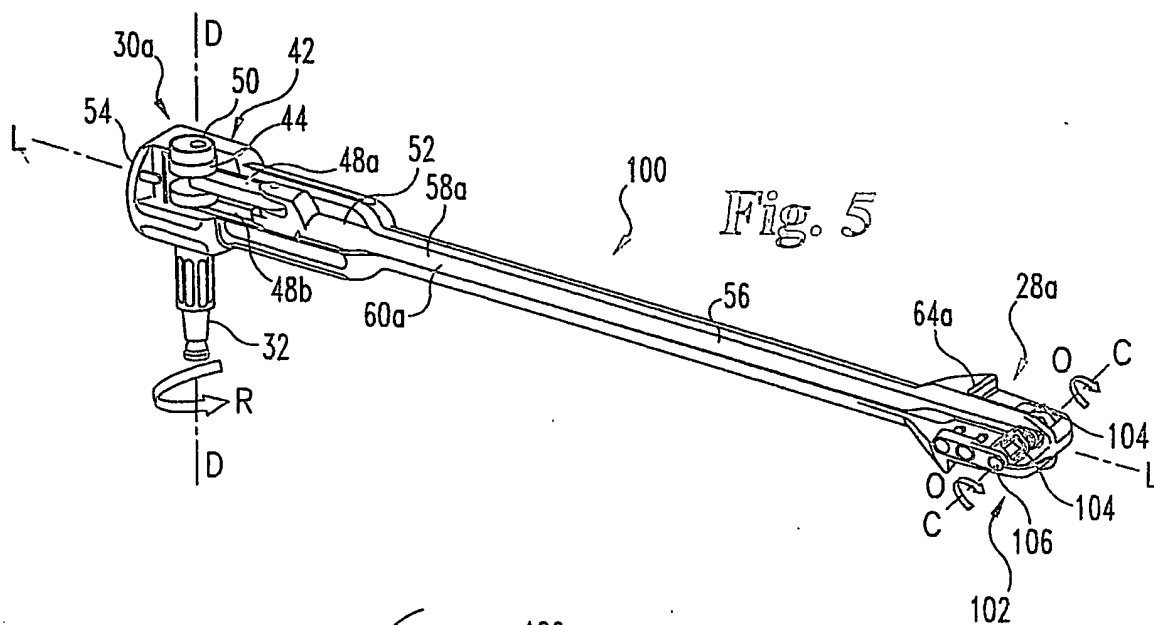
guiding the central reamer into position for said reaming by inserting the guide flange of the central reamer into the guide slot.

24. The method of claim 23, further comprising inserting an implant into the  
25 kidney-shaped cavity.

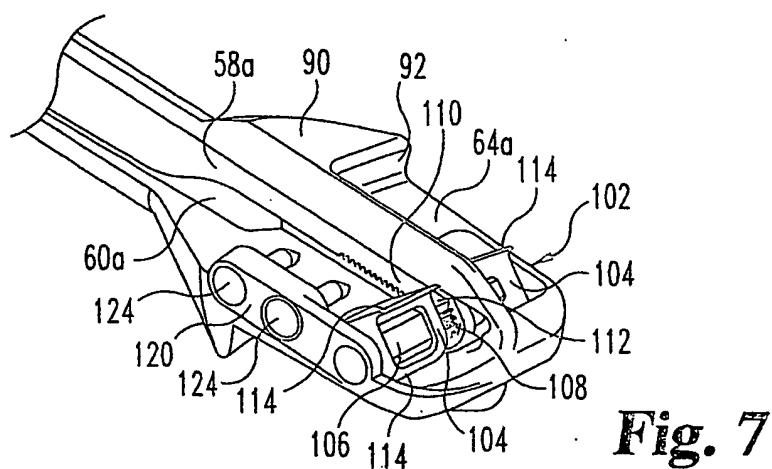


**Fig. 1**





**Fig. 6**



**Fig. 7**



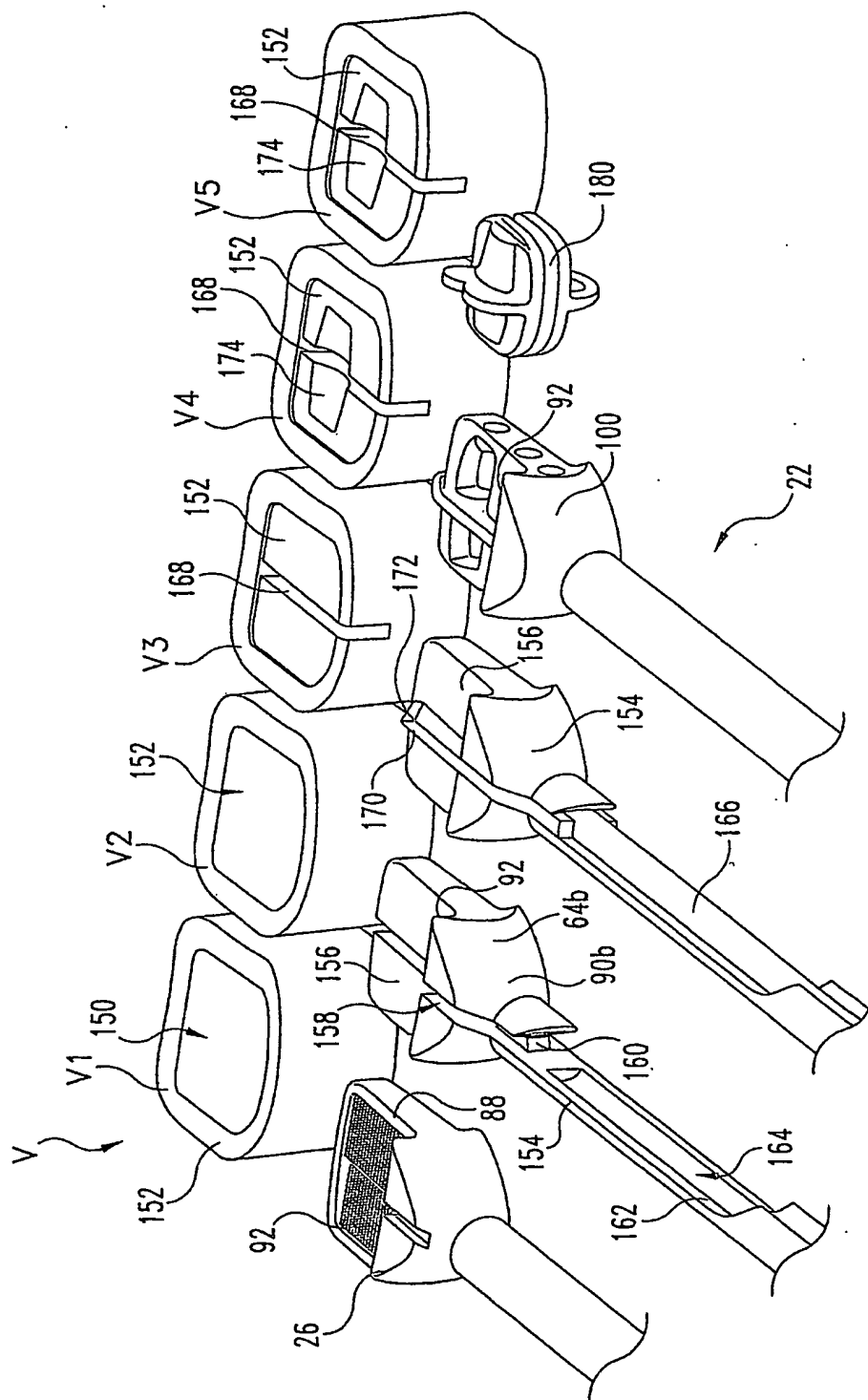
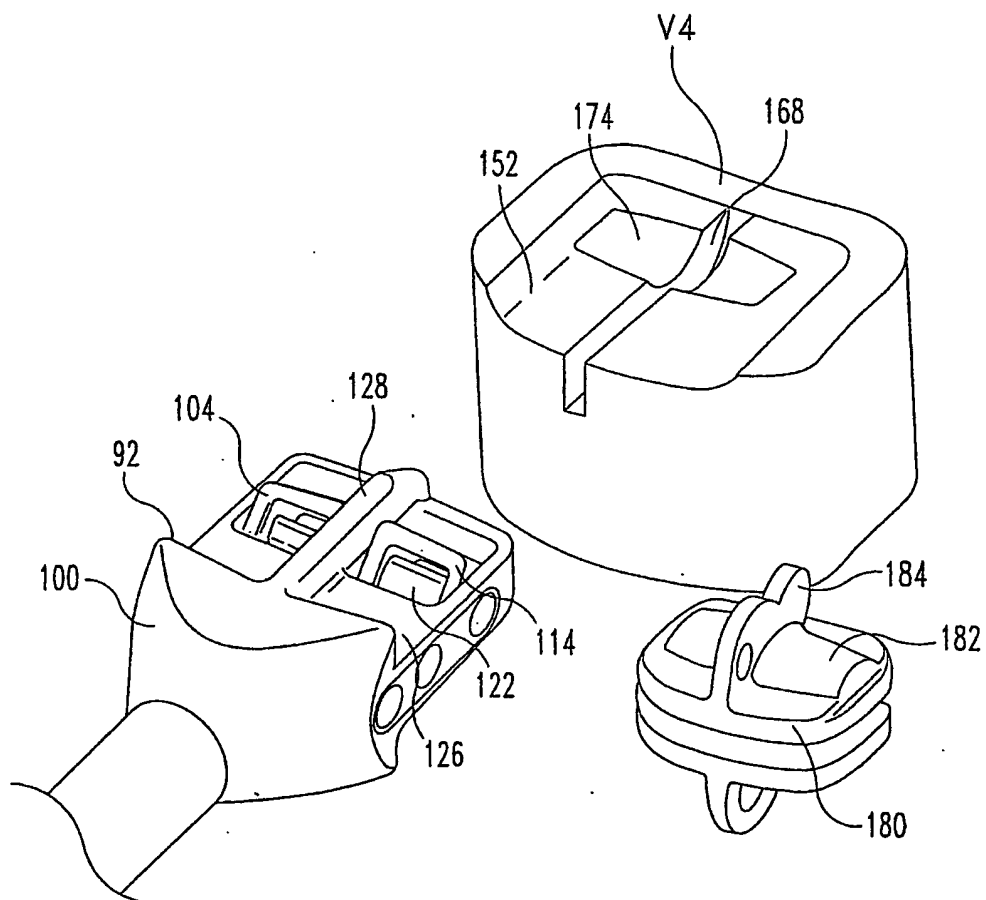
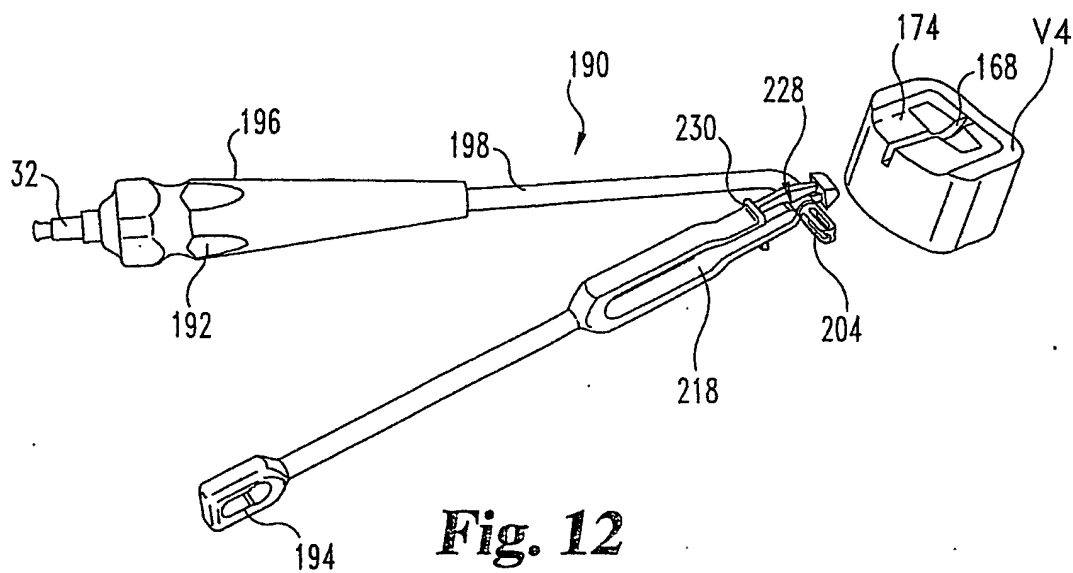
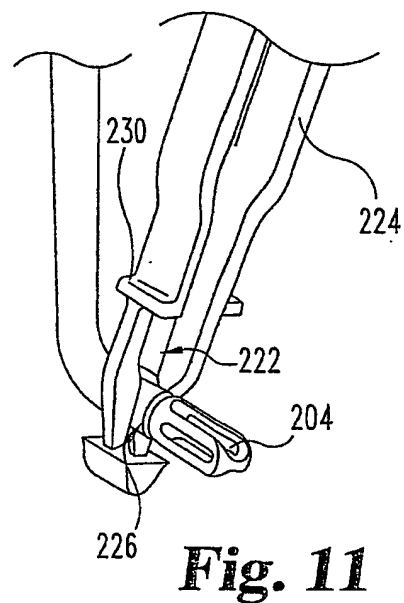
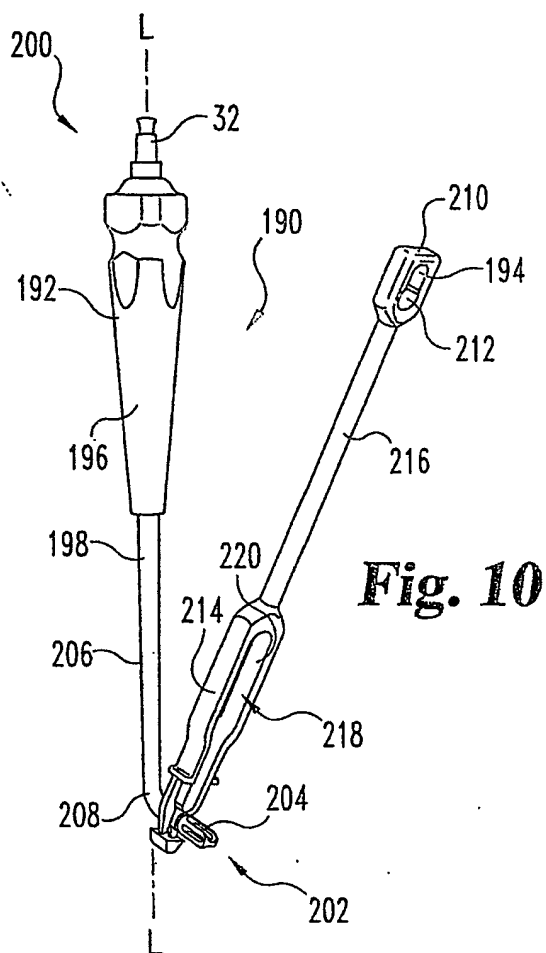


Fig. 8

**Fig. 9**



# INTERNATIONAL SEARCH REPORT

Intern: Application No  
PCT/TB 03/00910

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61B17/16 A61B17/17

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61B B23D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 466 429 A (HANSELMANN GERD ET AL) 21 August 1984 (1984-08-21) column 4, line 9 - line 22	1
A	US 2002/165550 A1 (EBNER HARALD ET AL) 7 November 2002 (2002-11-07) figures 50,51	1
X	figures 11,14	9,10
A	US 2001/039427 A1 (DINGER FRED B ET AL) 8 November 2001 (2001-11-08) figure 2	1
X	US 2002/058944 A1 (MICHELSON GARY K) 16 May 2002 (2002-05-16) paragraph '0052!; figures 4,8	9,10

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

14 August 2003

Date of mailing of the international search report

02.09.03

Name and mailing address of the ISA

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Authorized officer

Hamann, J

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB 03/00910

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 22-24  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☒ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:  
1-14, 17
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-8

An oscillating rasp, comprising a pair of bilateral rasp plates adapted to linearly reciprocate in opposite directions.

2. Claims: 9-14,17

A central reamer according to claim 9, wherein the at least one cutting element being adapted to rotate about a cutting axis includes a pair of cutting members (claim 10).

3. Claims: 9,15,16

A central reamer according to claim 9, further comprising a reamer guide to guide said cutting element (claim 15).

4. Claims: 18-21

A kit comprising:  
an oscillating rasp;  
a cutter for cutting a guide slot;  
a reamer having a guide flange adapted to be slidably received in said guide slot.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern. Application No

PCT/TB 03/00910

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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			US 2002013594 A1	31-01-2002
			US 2001039428 A1	08-11-2001
			US 2001037114 A1	01-11-2001
US 2002058944	A1	16-05-2002	NONE	